

EXHIBIT 1

FILED UNDER SEAL

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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION

WAYMO LLC

Case No. 3:17-cv-00939-JCS

Plaintiff

VS

UBER TECHNOLOGIES, INC.;
OTTOMOTTO, LLC; OTTO TRUCKING
LLC

**PLAINTIFF WAYMO LLC'S THIRD SET
EXPEDITED INTERROGATORIES
PURSUANT TO PARAGRAPH SIX OF
THE MAY 11, 2017 PRELIMINARY
INJUNCTION ORDER**

Honorable William H. Alsup

Defendants

PLAINTIFF'S THIRD SET OF EXPEDITED INTERROGATORIES
PURSUANT TO PARAGRAPH SIX OF THE MAY 11, 2015 PRELIMINARY
INJUNCTION ORDER (DKT. 433)

Pursuant to Rules 26 and 33 of the Federal Rules of Civil Procedure and the Court’s order granting further expedited discovery (Dkt. 433 at 25 ¶ 6), Plaintiff Waymo LLC (“Waymo”) requests that Defendants Uber Technologies, Inc. (“Uber”), Ottomotto, LLC (“Ottomotto”), and Otto Trucking LLC (“Otto Trucking”) (collectively “Defendants”) answer under oath the following interrogatories within fourteen (14) calendar days of service. Defendants are subject to

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1 a duty to timely supplement all responses to these interrogatories in accordance with Rule 26(e) of
2 the Federal Rules of Civil Procedure.

DEFINITIONS

4 1. “WAYMO” means Waymo LLC and its officers, directors, current and former
5 employees, counsel, agents, consultants, representatives, and any other persons acting on behalf of
6 any of the foregoing, and WAYMO’s affiliates, parents, divisions, joint ventures, licensees,
7 franchisees, assigns, predecessors and successors in interest, and any other legal entities, whether
8 foreign or domestic, that are owned or controlled by WAYMO, and all predecessors and
9 successors in interest to such entities, and any entity owned in whole or in part by, affiliated with,
10 or controlled in whole or in part by WAYMO.

11 2. “GOOGLE” means Google Inc. and its officers, directors, current and former
12 employees, counsel, agents, consultants, representatives, and any other persons acting on behalf of
13 any of the foregoing, and GOOGLE’s affiliates, parents, divisions, joint ventures, licensees,
14 franchisees, assigns, predecessors and successors in interest, and any other legal entities, whether
15 foreign or domestic, that are owned or controlled by GOOGLE, and all predecessors and
16 successors in interest to such entities, and any entity owned in whole or in part by, affiliated with,
17 or controlled in whole or in part by GOOGLE.

18 3. “UBER” means Uber Technologies, Inc. and its officers, directors, current and
19 former employees, counsel, agents, consultants, representatives, and any other persons acting on
20 behalf of any of the foregoing, and UBER’s affiliates, parents, divisions, joint ventures, licensees,
21 franchisees, assigns, predecessors and successors in interest, and any other legal entities, whether
22 foreign or domestic, that are owned or controlled by UBER, and all predecessors and successors in
23 interest to such entities, and any entity owned in whole or in part by, affiliated with, or controlled
24 in whole or in part by UBER.

25 4. “OTTOMOTTO” means Ottomotto LLC and its officers, directors, current and
26 former employees, counsel, agents, consultants, representatives, and any other persons acting on
27 behalf of any of the foregoing, and OTTOMOTTO’s affiliates, parents, divisions, joint ventures,
28 licensees, franchisees, assigns, predecessors and successors in interest, and any other legal entities,

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1 whether foreign or domestic, that are owned or controlled by OTTOMOTTO, and all predecessors
 2 and successors in interest to such entities, and any entity owned in whole or in part by, affiliated
 3 with, or controlled in whole or in part by OTTOMOTTO. For the avoidance of doubt,
 4 OTTOMOTTO includes all former names under which OTTOMOTTO operated, including
 5 without limitation 280 Systems, Inc., 280 Systems, LLC, and Ottomotto, Inc.

6 5. “OTTO TRUCKING” means Otto Trucking LLC and its officers, directors,
 7 current and former employees, counsel, agents, consultants, representatives, and any other persons
 8 acting on behalf of any of the foregoing, and OTTO TRUCKING’s affiliates, parents, divisions,
 9 joint ventures, licensees, franchisees, assigns, predecessors and successors in interest, and any
 10 other legal entities, whether foreign or domestic, that are owned or controlled by OTTO
 11 TRUCKING, and all predecessors and successors in interest to such entities, and any entity owned
 12 in whole or in part by, affiliated with, or controlled in whole or in part by OTTO TRUCKING.

13 6. “DEFENDANTS” means UBER, OTTOMOTTO, and OTTO TRUCKING.

14 7. “MISAPPROPRIATED MATERIALS” refers to all GOOGLE and WAYMO
 15 DOCUMENTS and materials, whether digital or physical, and regardless of the manner stored, for
 16 which any current or former employee of DEFENDANTS retained possession, without
 17 authorization of either GOOGLE or WAYMO, after the employee ended his or her employment
 18 with GOOGLE/WAYMO. Without limitation, MISAPPROPRIATED MATERIALS includes at
 19 least: (i) each of the more than 14,000 digital files downloaded by LEVANDOWSKI on or about
 20 December 11, 2015 from the SVN repository; (ii) each file copied by LEVANDOWSKI from his
 21 GOOGLE laptop to an RDF5 USB 3.0 card reader on or about December 14, 2015; (iii) each of
 22 the five files that were exported by LEVANDOWSKI from Google Drive to a personal device on
 23 or about January 4, 2016; (iv) the file exported by LEVANDOWSKI from Google Drive to a
 24 personal device on or about January 11, 2016; (v) the file that was exported by LEVANDOWSKI
 25 from Google Drive to a personal device on or about November 19, 2016; (vi) the file that was
 26 exported by LEVANDOWSKI from Google Drive to a personal device on or about November 4,
 27 2016; each of the five files that were exported by KSHIRSAGAR from Google Drive in or around
 28

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1 June and July 2016; (vii) each of the three files that were exported by RADUTA from Google
 2 Drive on or around July 28, 2016.

3 8. “LiDAR” means Light Detection and Ranging.

4 9. “OTTOMOTTO MERGER AGREEMENT” is the DOCUMENT produced at
 5 UBER00016453 and all DOCUMENTS appurtenant to that agreement including the
 6 OTTOMOTTO DISCLOSURE SCHEDULES produced at UBER00016983.

7 10. “OTTO TRUCKING MERGER AGREEMENT” is the DOCUMENT produced at
 8 UBER00016757 and all DOCUMENTS appurtenant to that agreement including the OTTO
 9 TRUCKING DISCLOSURE SCHEDULES produced at UBER00016974.

10 11. “INDEMNITY CONSTRUCT” is the DOCUMENT produced at UBER00016432.

11 12. ACQUISITION DOCUMENTS are the OTTOMOTTO MERGER AGREEMENT,
 12 the OTTO TRUCKING MERGER AGREEMENT, the INDEMNITY CONSTRUCT, and other
 13 DOCUMENTS REGARDING UBER’S acquisition of OTTOMOTTO and OTTO TRUCKING.

14 13. “DUE DILIGENCE REPORT” is the due diligence report prepared by STROZ in
 15 connection with UBER’s acquisition of OTTO and OTTO TRUCKING, and all DOCUMENTS or
 16 exhibits attached thereto.

17 14. “DOCUMENTS” shall INCLUDE, without limitation, all written, graphic or
 18 otherwise recorded material, INCLUDING without limitation, electronically stored information
 19 regardless of the form of storage medium, microfilms or other film records or impressions, tape
 20 recordings or computer cards, floppy disks or printouts, any and all papers, photographs, films,
 21 recordings, memoranda, books, records, accounts, communications, letters, telegrams,
 22 correspondence, notes of meetings, notes of conversations, notes of telephone calls, inter-office
 23 memoranda or written communications of any nature, recordings of conversations either in
 24 writings or upon any mechanical or electrical recording devices, INCLUDING e-mail, notes,
 25 papers, reports, analyses, invoices, canceled checks or check stubs, receipts, minutes of meetings,
 26 time sheets, diaries, desk calendars, ledgers, schedules, licenses, financial statements, telephone
 27 bills, logs, and any differing versions of any of the foregoing, whether so denominated, formal,
 28 informal or otherwise, as well as copies of the foregoing which differ in any way, INCLUDING

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1 by the addition of handwritten notations or other written or printed matter of any nature, from the
2 original. The foregoing specifically INCLUDES information stored in a computer database and
3 capable of being generated in documentary form, such as electronic mail, text messages (i.e., SMS
4 messages), other electronic messages including messages sent or received via Slack, WhatsApp,
5 Google Hangouts, Facebook Messenger, and the like.

6 15. "COMMUNICATIONS" shall mean, without limitation, any transmission,
7 conveyance or exchange of a word, statement, fact, thing, idea, DOCUMENT, instruction,
8 information, demand or question by any medium, whether by written, oral or other means,
9 including but not limited to, electronic communications and electronic mail ("e-mail").

16. "THING" means any tangible object, other than a DOCUMENT.

11 17. "PERSON" means to any individual, corporation, proprietorship, association, joint
12 venture, company, partnership or other business or legal entity, including governmental bodies and
13 agencies. The masculine includes the feminine and vice versa; the singular includes the plural and
14 vice versa.

15 18. "REGARDING," shall mean relating to, referring to, mentioning, reflecting,
16 pertaining to, evidencing, involving, describing, discussing, commenting on, embodying,
17 responding to, supporting, contradicting, or constituting (in whole or in part), as the context makes
18 appropriate.

19. "INCLUDE" and "INCLUDING" shall mean including without limitation.

20. Use of the singular also INCLUDES the plural and vice-versa.

21 21. The words "or" and "and" shall be read in the conjunctive and in the disjunctive
22 wherever they appear, and neither of these words shall be interpreted to limit the scope of these
23 Requests for Production.

24 22. The use of a verb in any tense shall be construed as the use of the verb in all other
25 tenses.

26 || 23. The term "any" shall include "all" and "all" shall include "any."

INSTRUCTIONS

28 The following Instructions apply to each interrogatory:

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1 1. In answering the following interrogatories, furnish all available information,
2 including information in the possession, custody, or control of any of DEFENDANTS’ attorneys,
3 directors, officers, agents, employees, representatives, associates, investigators or division
4 affiliates, partnerships, parents or subsidiaries, and PERSONS under DEFENDANTS’ control,
5 who have knowledge, not merely information known to DEFENDANTS based on
6 DEFENDANTS’ own personal knowledge.

7 2. If DEFENDANTS cannot fully respond to the following interrogatories after
8 exercising due diligence to secure the information requested thereby, so state, and specify the
9 portion of each interrogatory that cannot be responded to fully and completely. In the latter event,
10 state what efforts were made to obtain the requested information and the facts relied upon that
11 support the contention that the interrogatories cannot be answered fully and completely; and state
12 what knowledge, information or belief DEFENDANTS have concerning the unanswered portion
13 of any such interrogatory.

14 3. If DEFENDANTS’ response to a particular Interrogatory is a statement that
15 DEFENDANTS lack the ability to comply with that Interrogatory, specify whether the inability to
16 comply is because the particular item or category of information never existed, has been
17 destroyed, has been lost, misplaced, or stolen, or has never been, or is no longer, in
18 DEFENDANTS’ possession, custody, or control, in which case the name and address of any
19 person or entity known or believed by DEFENDANTS to have possession, custody, or control of
20 that information or category of information must be identified.

21 4. If any information requested is claimed to be privileged or otherwise immune from
22 discovery, provide all information falling within the scope of the interrogatory which is not
23 privileged, and for each item of information contained in a document to which a claim of privilege
24 is made, identify such document with sufficient particularity for purposes of a motion to compel,
25 such identification to include at least the following:

- 26 a. the basis on which the privilege is claimed;
27 b. the names and positions of the author of the document and all other persons
28 participating in the preparation of the document;

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- c. the name and position of each individual or other person to whom the document, or a copy thereof, was sent or otherwise disclosed;
 - d. the date of the document;
 - e. a description of any accompanying material transmitted with or attached to such document;
 - f. the number of pages in such document;
 - g. the particular Interrogatory to which such document is responsive; and
 - h. whether any business or non-legal matter is contained or discussed in such document

10 24. DEFENDANTS' obligation to respond to these interrogatories is continuing and its
11 responses are to be supplemented to include subsequently acquired information in accordance with
12 the requirements of Rule 26(e) of the Federal Rules of Civil Procedure.

INTERROGATORIES

14 | INTERROGATORY NO. 21:

15 Describe in detail the development of the [REDACTED] used by DEFENDANTS in their
16 LIDAR designs and devices, including who contributed to the design, and when and how [REDACTED]
17 [REDACTED] were first selected and evolved over time, and the
18 identity, by Bates Number, of the DOCUMENTS evidencing the same.

19 | INTERROGATORY NO. 22:

20 Describe in detail the development of the photodetectors and photodetector circuits
21 implemented by UBER or OTTO for LIDAR, including who contributed to the design and when,
22 and the identity, by Bates Number, of the DOCUMENTS evidencing the same.

23 | INTERROGATORY NO. 23:

24 Describe in detail the development of DEFENDANTS' [REDACTED]
25 including who contributed to the design, how [REDACTED]
26 were first selected and evolved over time, how [REDACTED] were first selected
27 and evolved over time, and the identity, by Bates Number, of the documents evidencing the same.

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1 **INTERROGATORY NO. 24:**

2 Identify the components of DEFENDANTS’ self-driving vehicles that LEVANDOWSKI
3 contributed to.

4 **INTERROGATORY NO. 25:**

5 Identify the components of DEFENDANTS’ self-driving vehicles that LEVANDOWSKI
6 did not contribute to.

7 **INTERROGATORY NO. 26:**

8 Describe all [REDACTED] as defined in the ACQUISITION DOCUMENTS,
9 and identify, by Bates Number, the documents evidencing or describing the same.

10 **INTERROGATORY NO. 27:**

11 Describe the meetings that took place in San Francisco between LEVANDOWSKI and
12 UBER on or around January 12, 2016, including an identification of who was present (whether in
13 person or telephonically) and the subject matter of what was discussed.

14 **INTERROGATORY NO. 28:**

15 Describe any alternate LIDAR designs that UBER or OTTO considered for Fuji that did
16 not include [REDACTED]
17 and identify, by Bates Number, the documents evidencing the same.

18
19
20
21 DATED: June 6, 2017

QUINN EMANUEL URQUHART &
22 SULLIVAN, LLP

23
24 By: /s/ Charles K. Verhoeven
25 Charles K. Verhoeven
26 Attorneys for Plaintiff WAYMO LLC.
27
28

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I, Andrea Pallios Roberts, am employed in the County of San Mateo, State of California. I am over the age of eighteen years and not a party to the within action. My business address is 555 Twin Dolphin Drive, 5th Floor, Redwood Shores, CA 94065.

On June 6, 2017, I served true copies of the following document(s) described as “Plaintiff Waymo LLC’s First Set of Expedited Interrogatories Pursuant to Paragraph Six of the May 11, 2017 Preliminary Injunction Order” on the interested parties in this action as follows:

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24
25 **BY ELECTRONIC MAIL TRANSMISSION:** by electronic mail transmission from
26 andreaproberts@quinnmanuel.com on June 6, 2017, by transmitting a PDF format copy of such
27 document(s) to each such person at the e mail address listed above. The document(s) was/were
28 transmitted by electronic transmission and such transmission was reported as complete and
without error.

29 Executed on June 6, 2017, at Redwood Shores, California.

30 _____
31 */s/ Andrea Pallios Roberts*
32 Andrea Pallios Roberts